



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/147
23 Jun 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [redacted] (b)(6), (b)(7)(C) USN, while serving as [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) in 2010. Furthermore, there is no evidence that [redacted] (b)(6), (b)(7)(C) took any official action to benefit GDMA.

2. Specifically, I determined that:

a. On or about 31 January 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) The preponderance of the evidence does not support a violation of reference (c) because there is insufficient evidence that [redacted] (b)(6), (b)(7)(C) attended this dinner; and

b. On or about 8 February 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

3. Based on all the facts and circumstances known to me regarding the 8 February allegation, I determined [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that attendance at the dinner was [redacted] (b)(7)(A) [redacted] (b)(7)(A) I also determined that [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of fact that [redacted] (b)(7)(A) [redacted] (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C)

4. I addressed this matter personally with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

5. The above findings constitute reportable information in accordance with reference (d).

6. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) [@navy.mil](mailto:[redacted]@navy.mil).



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/147
 23 Jun 17

From: Commander, United States Fleet Forces Command
 To: Chief of Naval Personnel
 Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN, AND (b)(6), (b)(7)(C)
 (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04
 (e) SECNAVINST 1920.6C

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against the officers named above. Furthermore, there is no evidence that (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) took any official action to benefit GDMA.

2. I determined that attendance at a dinner hosted by GDMA during a Carrier Strike Group NINE port visit to Hong Kong in December 2004 by (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(7)(A) I also determined that there was insufficient evidence that (b)(6), (b)(7)(C) accepted a prohibited gift of drinks in Singapore in February 2005.

3. Based on all the facts and circumstances known to me for these allegations, I determined that it would be inappropriate to substantiate misconduct against the officers named above.

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
 VCNO (N09D)
 CNP ()
 NCIS (b)(6), (b)(7)(C)
 DCIS ()



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/148
23 Jun 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [redacted] (b)(6), (b)(7)(C) USN, while serving as USS NIMITZ (CVN 68) [redacted] (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) in 2010. Furthermore, there is no evidence that [redacted] (b)(6), (b)(7)(C) took any official action to benefit GDMA, a prohibited source.

2. On or about 19 January 2010, [redacted] (b)(6), (b)(7)(C) sent an email to a GDMA employee seeking recommendations for lodging for [redacted] (b)(6), (b)(7)(C) officers in Phuket, Thailand. Based on all the facts and circumstances known to me for this allegation, I determined [redacted] (b)(6), (b)(7)(C) did not solicit discounted lodging for [redacted] (b)(6), (b)(7)(C) officers from GDMA. The evidence indicates that GDMA did not provide discounted lodging to [redacted] (b)(6), (b)(7)(C) officers, including [redacted] (b)(6), (b)(7)(C). The preponderance of the evidence did not support a violation of reference (c). As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C).

3. The above findings constitute reportable information in accordance with reference (d). I personally addressed this matter with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/150
23 Jun 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [redacted] while serving as [redacted] USS NIMITZ (CVN 68), in 2010. Furthermore, there is no evidence that [redacted] took any official action to benefit GDMA.

2. Specifically, I determined that:

a. On or about 31 January 2010, a [redacted] [redacted] Although [redacted] attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 8 February 2010, a [redacted] [redacted] Although [redacted] attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

3. Based on all the facts and circumstances known to me for these allegations, I determined [redacted] held an honest and reasonable belief that attendance at the dinners was [redacted] [redacted] I also determined that [redacted] held a reasonable mistake of fact that [redacted] [redacted] Accordingly, I determined that it would be inappropriate to substantiate misconduct against [redacted]

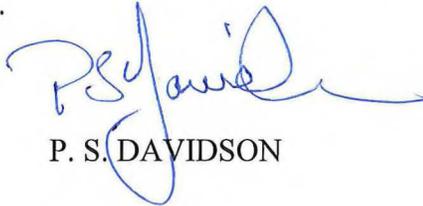
4. I personally addressed this matter with [redacted] through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

5. The above findings constitute reportable information in accordance with reference (d).

6. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
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DCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/155
23 Jun 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [REDACTED] USN, while serving as [REDACTED] Carrier Air Wing NINE (CVW 9), in 2011. Furthermore, there is no evidence that [REDACTED] took any official action to benefit GDMA, a prohibited source.

2. Specifically, I determined that:

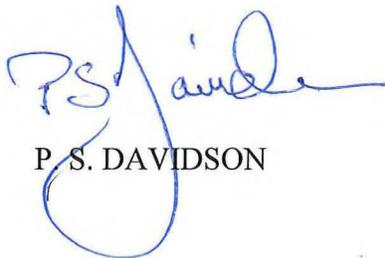
a. On or about 4 September 2011, [REDACTED] attended a pier-side reception upon arrival of USS JOHN C. STENNIS (CVN 74) to the Port Klang Cruise Center in Kuala Lumpur, Malaysia. The reception was attended by [REDACTED]. [REDACTED] Approximately [REDACTED] persons attended this welcome reception. Based on all the facts and circumstances known to me, I determined that the exception in effect at the time for meals, entertainment, and refreshments in a foreign area applied to [REDACTED] attendance at this event. Further, in light of the fact that the [REDACTED] [REDACTED] attended the event, I determined that, even if an exception had not made his attendance permissible, [REDACTED] held a reasonable mistake of fact that attendance at the event was proper; and

b. On or about 6 September 2011, [REDACTED] attended a dinner at [REDACTED]. [REDACTED] The event was hosted by the [REDACTED] [REDACTED] [REDACTED] although Leonard Francis was not in attendance. The [REDACTED] (CVW 9), both attended this dinner. Based on all the facts and circumstances known to me, I determined that [REDACTED] held a reasonable mistake of fact that attendance at the event was legally permissible. Accordingly, I determined that it would be inappropriate to substantiate misconduct against [REDACTED].

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

3. I personally addressed this matter with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/157
23 Jun 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) you:

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

3. Please provide any response no later than 5 July 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/158
29 Jun 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) you:

(b)(7)(A)

2. This serves as your opportunity to provide additional information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

3. Please provide any response no later than 14 July 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) [@navy.mil](mailto:).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/151
6 Jul 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) you engaged in inappropriate behavior by accepting the gift of a dinner from GDMA and/or Leonard Francis, a prohibited source, on or about 7 February 2012, at the (b)(7)(A)

2. This serves as your opportunity to provide any desired input regarding these allegations or interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

3. Please provide any response no later than 20 July 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/159
6 Jul 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) you:

a. Engaged in inappropriate behavior by accepting the gift of a hotel room from GDMA and/or Leonard Francis, a prohibited source, from on or about 6 August 1998 to on or about 9 August 1998, at Kota Kinabalu, Malaysia; and

b. Engaged in inappropriate behavior by accepting the gift of dinner, drinks, a golf outing, and entertainment, from GDMA and/or Leonard Francis, a prohibited source, from on or about 6 August 1998 to on or about 9 August 1998, at Kota Kinabalu, Malaysia.

2. This serves as your opportunity to provide any desired input regarding these allegations or interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

3. Please provide any response no later than 21 July 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) [@navy.mil](mailto:(b)(6), (b)(7)(C)@navy.mil).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/160
14 Jul 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICC [redacted] (b)(6), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct by [redacted] (b)(6), (b)(7)(C) while he was serving as [redacted] (b)(6), (b)(7)(C) USS MUSTIN (DDG 89) in 2007, and as [redacted] (b)(6), (b)(7)(C) Carrier Strike Group THREE in 2009. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [redacted] (b)(6), (b)(7)(C). Additionally, there is no evidence that [redacted] (b)(6), (b)(7)(C) took any official action to benefit GDMA.

2. Specifically, I determined that:

a. On or about 30 August 2007, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 30 August 2007, there was no evidence to support that GDMA provided discounted lodging to [redacted] (b)(6), (b)(7)(C) in violation of reference (c).

c. On or about 9 April 2009, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

3. Based on all the facts and circumstances known to me regarding the 30 August 2007 and 9 April 2009 allegations, I determined [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that attendance at these dinners was [redacted] (b)(7)(A) [redacted] (b)(7)(A) I also determined that [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of fact that [redacted] (b)(7)(A)

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

[redacted] (b)(7)(A)

[redacted] (b)(7)(A) Additionally, attendance at the 30 August 2007 dinner was

[redacted] (b)(6), (b)(7)(A), (b)(7)(C)

[redacted] (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C) In relation to the hotel room, I found no evidence that [redacted] (b)(6), (b)(7)(C)

[redacted] (b)(6), (b)(7)(C) stayed at a room paid for, in whole or in part, by GDMA.

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at

[redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/162
14 Jul 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [REDACTED] USN, while serving as [REDACTED] USS MCCAMPBELL (DDG 85), in 2009. Based on a preponderance of the evidence, I substantiated that [REDACTED] [REDACTED] wrongfully accepted the improper gift of a dinner, in excess of ethical limits, from GDMA, a prohibited source.

2. Specifically, I determined that on or about 28 July 2009, [REDACTED] attended a dinner at [REDACTED] along with two other Navy Officers, plus [REDACTED] and another GDMA employee. The preponderance of the evidence supports that GDMA paid for the meal. I determined that none of the gift exceptions in reference (c) apply.

3. This substantiated finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [REDACTED] was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [REDACTED] took or was requested to take any action to benefit GDMA, or that he solicited gifts in any way. I determined that there are other significant mitigating factors, including:

[REDACTED]

4. I personally addressed this with [REDACTED] through administrative action and consider this matter closed. I recommend that [REDACTED] not be required to show cause for retention in the naval service. I considered all potential and appropriate remedies consistent with the evidence

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/163
14 Jul 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [redacted] USN, while serving as [redacted] USS MCCAMPBELL (DDG 85), in 2009. Based on a preponderance of the evidence, I substantiated that [redacted] wrongfully accepted the improper gift of a dinner, in excess of ethical limits, from GDMA, a prohibited source.

2. Specifically, I determined that on or about 28 July 2009 [redacted] attended a dinner [redacted] [redacted] along with two other Navy Officers, plus [redacted] [redacted] and another GDMA employee. The preponderance of the evidence supports that GDMA paid for the meal. I determined that none of the gift exceptions in reference (c) apply.

3. This substantiated finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [redacted] was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [redacted] took or was requested to take any action to benefit GDMA, or that he solicited gifts in any way. I determined that there are other significant mitigating factors, including:

[redacted]
(b)(7)(A)

4. I personally addressed this with [redacted] through administrative action and consider this matter closed. I recommend that [redacted] not be required to show cause for retention in the naval service. I considered all potential and appropriate remedies consistent with the evidence

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) [@navy.mil](mailto:(b)(6), (b)(7)(C)@navy.mil).



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP ()
NCIS (b)(6), (b)(7)(C)
DCIS ()



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/164
14 Jul 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)

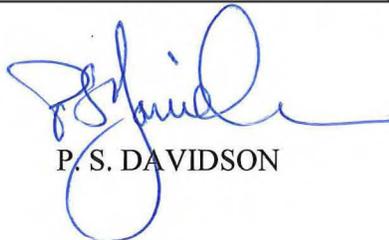
Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct by [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) USN, while serving as [redacted] (b)(6), (b)(7)(C) USS MCCAMPBELL (DDG 85), in 2009. I determined that a preponderance of the evidence does not substantiate the allegation of misconduct against [redacted] (b)(6), (b)(7)(C). There is no evidence that [redacted] (b)(6), (b)(7)(C) received anything of value from GDMA or took any action to benefit them.

2. Specifically, I determined there is insufficient evidence to substantiate that on or about 28 July 2009 [redacted] (b)(6), (b)(7)(C) was in attendance at a GDMA-funded dinner [redacted] (b)(7)(A) [redacted] (b)(7)(A).

3. The above finding constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) [@navy.mil](mailto:[redacted]@navy.mil).



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/165
21 Jul 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS NIMITZ (CVN 68), you

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be considered by the GDMA CDA before reaching any final conclusions about this matter.

3. Please provide any response no later than 2 August 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) [@navy.mil](mailto:(b)(6), (b)(7)(C)@navy.mil).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/166
21 Jul 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS NIMITZ (CVN 68), you

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be considered by the GDMA CDA before reaching any final conclusions about this matter.

3. Please provide any response no later than 2 August 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) [@navy.mil](mailto:).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/167
21 Jul 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS NIMITZ (CVN 68),

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be considered by the GDMA CDA before reaching any final conclusions about this matter.

3. Please provide any response no later than 2 August 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) [@navy.mil](mailto:navy.mil).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/161
26 Jul 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) Carrier Air Wing FIVE, you:

a. Accepted the gift of a dinner from GDMA and/or Leonard Francis, a prohibited source, on or about 29 April 2008, at the (b)(7)(A) with several other Naval Officers.

2. There is also additional information that was developed during the GDMA investigation that, while serving as (b)(6), (b)(7)(C) Carrier Air Wing FIVE, you:

(b)(7)(A)

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response as soon as possible. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) [@navy.mil](mailto: @navy.mil).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/184
10 Aug 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff reviewed credible evidence that while serving as (b)(6), (b)(7)(C) Commander Seventh Fleet (C7F) (b)(7)(A)

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

3. Please provide any response, should you desire to submit one, no later than Monday, 21 August, 2017. Please contact me at (b)(6), (b)(7)(C) @navy.mil if you require additional time or have any questions.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/181
 11 Aug 17

From: Commander, United States Fleet Forces Command
 To: Chief of Naval Personnel
 Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
 (b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct by [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) USN, while he was serving as [redacted] (b)(6), (b)(7)(C) Carrier Air Wing FIVE (CVW-5), on board USS KITTY HAWK (CV-63), from 2006 to 2008. Based on a preponderance of the evidence, I substantiated one of three misconduct allegations against [redacted] (b)(6), (b)(7)(C). The substantiated misconduct allegation occurred during a port visit in Hong Kong in 2008. As set forth below, I found that [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of a private dinner, in excess of ethical limits, from Leonard Francis and GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations:

a. On 25 November 2006, [redacted] (b)(6), (b)(7)(C) attended a dinner in Hong Kong with Leonard Francis and other senior officers from the KITTY HAWK Strike Group that was paid for by GDMA. I determined that none of the gift exceptions in reference (c) apply.

b. On 30 August 2007, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

c. Based on all the facts and circumstances known to me for these two allegations above, I determined [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief [redacted] (b)(7)(A)

[redacted] (b)(7)(A)
 [redacted] (b)(7)(A) I also determined that [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of fact that [redacted] (b)(7)(A)

[redacted] (b)(7)(A)
 [redacted] (b)(7)(A) Accordingly, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C) for these two allegations.

Subj: ADVERSE INFORMATION ICC [redacted] (b)(6), (b)(7)(C) USN

3. In relation to the substantiated allegation, on 29 April 2008 [redacted] (b)(6), (b)(7)(C) attended a lavish private dinner in [redacted] (b)(7)(A) with Leonard Francis and [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) overtly funded by GDMA [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) I determined that none of the gift exceptions in reference (c) apply.

4. The substantiated finding above constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [redacted] (b)(6), (b)(7)(C) was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [redacted] (b)(6), (b)(7)(C) took or was requested to take any action to benefit GDMA, or that he solicited gifts in any way. I determined that there are other significant mitigating factors, including:

[redacted] (b)(7)(A)

5. I personally addressed this with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) [@navy.mil](mailto:[redacted]@navy.mil).


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/183
11 Aug 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO USS NIMITZ (CVN 68) STRIKE GROUP
MEMBERS IN ATTENDANCE AT 2009-2010 EVENTS IN SINGAPORE,
THAILAND AND JAPAN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct by the below officers serving on board USS NIMITZ (CVN-68), USS RENTZ (FFG-46), squadrons subordinate to Carrier Air Wing ELEVEN (CVW-11), and Carrier Strike Group ELEVEN (CSG-11), from 2009 to 2010. I determined that a preponderance of the evidence does not substantiate any misconduct against the below named officers during port visits to Singapore in 2009; and Thailand and Japan in 2010.

- a.
- b.
- c.
- d.
- e.
- f.
- g.
- h.
- i.
- j.

(b)(6), (b)(7)(C)

Subj: REPORTABLE INFORMATION ICO USS NIMITZ (CVN 68) STRIKE GROUP MEMBERS
IN ATTENDANCE AT 2009-2010 EVENTS IN SINGAPORE, THAILAND AND JAPAN

k.
l.
m

(b)(6), (b)(7)(C)

2. From on or about 7 September 2009 to on or about 10 September 2009, there is evidence that the below officers received discounted lodging in Singapore paid for by GDMA, a prohibited source. The preponderance of the evidence did not support a violation of reference (c). Based on all the facts and circumstances known to me for this allegation, I determined the named officers held an honest and reasonable belief that they paid market value for the lodging.

a.
b.
c.
d.
e.
f.
g.
h.

(b)(6), (b)(7)(C)

3. On or about 9 September 2009, there is some evidence that the below officers received a (b)(7)(A) paid for by GDMA, a prohibited source. The preponderance of the evidence did not support a violation of reference (c). Based on all the facts and circumstances known to me for this allegation, I determined the gift exception in reference (c) for unsolicited gifts having an aggregate market value of \$20 or less applied.

a.
b.
c.
d.
e.

(b)(6), (b)(7)(C)

4. On or about 31 January 2010, the below officers attended

(b)(7)(A)

(b)(7)(A)

Subj: REPORTABLE INFORMATION ICO USS NIMITZ (CVN 68) STRIKE GROUP MEMBERS
IN ATTENDANCE AT 2009-2010 EVENTS IN SINGAPORE, THAILAND AND JAPAN

(b)(7)(A) The preponderance of the evidence did not support a violation of reference (c).
Based on all the facts and circumstances known to me for this allegation, I determined the named
officers held an honest and reasonable belief that attendance at the dinner (b)(7)(A)

(b)(7)(A)

(b)(7)(A) I also determined that the officers held a reasonable mistake of fact that GDMA

(b)(7)(A)

(b)(7)(A) As such, I determined that it would be
inappropriate to substantiate misconduct against the named officers.

- a.
- b. (b)(6), (b)(7)(C)
- c.

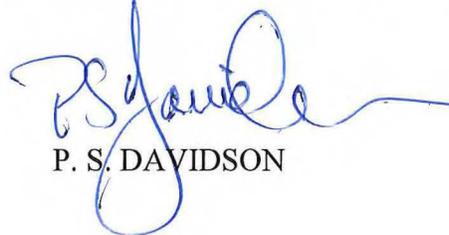
5. On or about 1 February 2010, the preponderance of the evidence supports that (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) OSN received a discounted golf outing in Thailand paid for by GDMA, a prohibited
source. The preponderance of the evidence did not support a violation of reference (c). Based
on all the facts and circumstances known to me for this allegation, I determined it was
permissible for (b)(6), (b)(7)(C) to attend because the gift exception in reference (c) for meals,
refreshments and entertainment in foreign areas, applied.

6. On or about 31 January 2010, the below officers attended a dinner in Japan with a GDMA
employee. There is insufficient evidence that the dinner was paid for by GDMA, a prohibited
source. The preponderance of the evidence did not support a violation of reference (c). Based
on all the facts and circumstances known to me for this allegation, I determined the gift
exception in reference (c) for unsolicited gifts having an aggregate market value of \$20 or less
applied.

- a. (b)(6), (b)(7)(C)
- b.

7. The above findings constitute reportable information in accordance with reference (d).

8. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at
(b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP ()
NCIS (b)(6), (b)(7)(C)
DCIS ()



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/188
11 Aug 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [REDACTED] USN, while serving as the [REDACTED] USS SHILOH (CG 67), from 2004-2005, and while serving as [REDACTED] USS GRIDLEY (DDG 101) from 2009-2011. Based on a preponderance of the evidence, I substantiated one of six allegations of misconduct against [REDACTED]. The substantiated allegation of misconduct occurred during a port visit in 2009. As set forth below, I found that [REDACTED] wrongfully accepted the improper gift of a bottle of champagne, in excess of ethical limits, from Leonard Francis and GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations:

a. On or about 26 December 2004, while serving as SHILOH [REDACTED] attended a dinner hosted by GDMA during a Carrier Strike Group NINE port visit to Hong Kong. This event [REDACTED]
[REDACTED]

b. On or about 26 December 2004, at that same event, [REDACTED] received a wooden nameplate which I determined was an item of little intrinsic value, and therefore was not a gift under reference (d).

c. On or about 8 February 2005, while serving as SHILOH [REDACTED] during a port visit in Singapore, I determined that [REDACTED] did not accept an impermissible gift of drinks as there was insufficient evidence regarding payment by a prohibited source.

d. On or about 23 September 2009, while serving as GRIDLEY (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) attended a dinner event during a port visit in Thailand. Based on all the facts and circumstances known to me for these allegations, I determined that (b)(6), (b)(7)(C) held an honest and reasonable belief that attendance at this event (b)(7)(A) (b)(7)(A) I also determined that (b)(6), (b)(7)(C) held a reasonable mistake of fact that (b)(7)(A) (b)(7)(A) Accordingly, I determined that it would be inappropriate to substantiate misconduct against (b)(6), (b)(7)(C)

e. On or about 23 September 2009, while serving as GRIDLEY (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted a miniature replica King of Thailand barge. Based on all the facts and circumstances known to me for these allegations, I determined that (b)(6), (b)(7)(C) did not accept an impermissible gift and, in any event, (b)(6), (b)(7)(C) sought and (b)(7)(A) (b)(6), (b)(7)(A), (b)(7)(C) Therefore, (b)(6), (b)(7)(C) is entitled to the Safe Harbor provisions within reference (c).

f. On or about 27 September 2009, while serving as GRIDLEY (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) failed to properly dispose of cigars provided by GDMA. Based on all the facts and circumstances known to me for these allegations, I determined that this failure did not amount to misconduct in the unique circumstances of this case. (b)(6), (b)(7)(C) recognized the improper nature of the gift of cigars and, in accordance with reference (d), directed that the gift be immediately returned. However, because the gifts were provided at the very end of a port visit in accordance with GDMA's routine practices for delivery of unsolicited gifts, and the last launch had already departed, the immediate return of the gift was impractical. (b)(6), (b)(7)(C) instead distributed the cigars amongst the crew. Therefore, I determined that it would be inappropriate to substantiate misconduct against (b)(6), (b)(7)(C)

3. In relation to the substantiated allegation, on or about 27 September 2009, along with the cigars discussed above, (b)(6), (b)(7)(C) wrongfully accepted the improper gift of a magnum bottle of champagne, from Leonard Francis and GDMA. (b)(6), (b)(7)(C) knew the bottle of champagne was from Leonard Francis and/or GDMA, and failed to return or properly dispose of the bottle. I determined that none of the gift exceptions within reference (c) apply. However, I note that (b)(6), (b)(7)(A), (b)(7)(C)

4. The substantiated finding above constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that (b)(6), (b)(7)(C) was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that (b)(6), (b)(7)(C) took or was requested to take any action to benefit GDMA, or that he solicited gifts in any way. I determined that there are other significant mitigating factors, including:

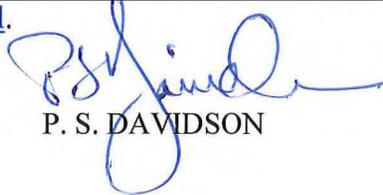
(b)(7)(A)

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

5. I personally addressed this with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I do not recommend that [redacted] (b)(6), (b)(7)(C) be required to show cause for retention in the naval service.

7. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/187
11 Aug 17

From: Commander, United States Fleet Forces Command
To: Commander, Navy Personnel Command

Subj: CONCLUSION OF REVIEW ICO [redacted] USNR

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed matters forwarded by the Department of Justice (DoJ) and Defense Criminal Investigative Service (DCIS) regarding [redacted] (b)(6), (b)(7)(C) USNR, while he served in Strike Fighter Squadron EIGHT SIX (VFA-86) on board USS NIMITZ (CVN-68) during the 2009-2010 deployment. In particular, I examined circumstances associated with the gift of subsidized lodging to members of Strike Fighter Squadron FOURTEEN (VFA-14) from on or about 7 September 2009 to on or about 10 September 2009, surreptitiously paid for by Leonard Francis and GDMA. After a thorough review, I determined that there is no evidence on which to base any viable allegation of misconduct. In addition, there is no evidence he attended any of the events paid for by Leonard Francis or GDMA, nor did he personally accept any gifts from Leonard Francis or GDMA.

2. The CDA informs Navy Personnel Command (NPC) of each case referred by the DoJ and DCIS.

[redacted] (b)(6), (b)(7)(A), (b)(7)(C)

3. I recommend that Navy Personnel Command remove any promotion holds, delays, or other administrative actions associated with [redacted] (b)(6), (b)(7)(C) and this GDMA matter. My point of contact for this letter is [redacted] (b)(6), (b)(7)(C) may be reached by e-mail at

[redacted] (b)(6), (b)(7)(C) @navy.mil or telephone at [redacted] (b)(6), (b)(7)(C)


P. S. DAVIDSON

Copy to:
VCNO (N09D)
NAVIG
NCIS
DCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/190
14 Aug 17

From: Commander, United States Fleet Forces Command
To: [redacted] (b)(6), (b)(7)(C) @gmail.com

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as [redacted] (b)(6), (b)(7)(C) USS RONALD REAGAN (CVN 76) you received gifts from GDMA, a prohibited source. Specifically:

[redacted] (b)(7)(A)

2. This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

3. Please provide any response no later than 28 August 2017. Should you have any questions or need additional time, please contact [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.

Sincerely,

[redacted] (b)(6), (b)(7)(C)

[redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/191
18 Aug 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) SN, while serving as [REDACTED] (b)(6), (b)(7)(C) SEVENTH FLEET (C7F), from 2010 to 2011. Based on a preponderance of the evidence, I substantiated three of six misconduct allegations against [REDACTED] (b)(6), (b)(7)(C). The substantiated misconduct allegations occurred during USS BLUE RIDGE (LCC 19) port-visits to Laem-Chabang, Thailand, and [REDACTED] (b)(7)(A).

2. In relation to the unsubstantiated allegations:

a. On or about 7 February 2010, [REDACTED] (b)(6), (b)(7)(C) attended a dinner in Sapporo, Japan, which was paid for by GDMA, a prohibited source. Although [REDACTED] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c) because there is insufficient evidence to establish that the value of the gift received was in excess of \$20.

b. On or about 5 August 2010, [REDACTED] (b)(6), (b)(7)(C) attempted to join a private party in Manila, Philippines, paid for by GDMA. Although [REDACTED] (b)(6), (b)(7)(C) emailed officers [REDACTED] (b)(7)(A) [REDACTED] (b)(7)(A) this private party, there is insufficient evidence to establish that he attended the party. Thus the preponderance of the evidence does not support a violation of reference (c).

c. On or about 8 March 2011, [REDACTED] (b)(6), (b)(7)(C) attended a dinner in Kuala Lumpur, Malaysia, with Leonard Francis. Although [REDACTED] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c) because there is insufficient evidence to establish that the value of the gift received was in excess of \$20.

d. Based on all the facts and circumstances known to me for these three allegations, I determined that the value of the two dinners may have been within ethical limits, and that there was insufficient evidence that [REDACTED] (b)(6), (b)(7)(C) attended the party in Manila. Accordingly, I determined that it would be inappropriate to substantiate misconduct against [REDACTED] (b)(6), (b)(7)(C).

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

3. In relation to the substantiated allegations:

a. On or about 20 April 2010, (b)(6), (b)(7)(C) wrongfully accepted the improper gift of free transportation to and attendance at a private party that included alcohol and entertainment, from Leonard Francis and GDMA. Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that (b)(6), (b)(7)(C) attended a private party (b)(7)(A)

(b)(7)(A)

determined that none of the gift exceptions within reference (c) apply.

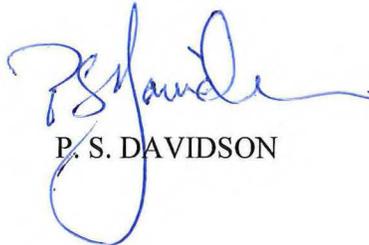
b. On or about (b)(7)(A) (b)(6), (b)(7)(C) wrongfully accepted the improper gift of a dinner, from Leonard Francis and GDMA. Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that (b)(6), (b)(7)(C) attended a dinner at a restaurant in (b)(7)(A) and (b)(6), (b)(7)(C) a GDMA employee. Receipts show that the meal was paid for by GDMA, and was a gift in excess of ethically permissible limits. I determined that none of the gift exceptions within reference (c) apply.

c. On or about 10 May 2010, (b)(6), (b)(7)(C) wrongfully accepted the improper gift of a dinner, from Leonard Francis and GDMA. Information forwarded by the Department of Justice and the Defense Criminal Investigative Service revealed that (b)(6), (b)(7)(C) attended a second dinner at a restaurant in (b)(7)(A) along with other officers, and (b)(6), (b)(7)(C) a GDMA employee (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) received a dinner paid for by GDMA, in a private party setting, in which there was a pool table, karaoke and jacuzzi. (b)(7)(A) and (b)(6), (b)(7)(C) was aware of this. Receipts show that this dinner event was paid for by GDMA, and was a gift in excess of ethically permissible limits. I determined that none of the gift exceptions within reference (c) apply.

4. The substantiated findings above constitute adverse information in accordance with reference (d).

5. I personally addressed this with (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP (b)(6), (b)(7)(C)
NCIS
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/193
18 Aug 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [redacted] (b)(6), (b)(7)(C) USN, while [redacted] (b)(6), (b)(7)(C) was serving as [redacted] (b)(6), (b)(7)(C) USS SALVOR (ARS 52), deployed in the Western Pacific in 1998. Based on a preponderance of the evidence, I substantiated three of five misconduct allegations against [redacted] (b)(6), (b)(7)(C). The substantiated misconduct allegations occurred during a port-visit in Kota Kinabalu, Malaysia, in 1998. As set forth below, I found that [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gifts of a free hotel room, dinner, drinks, entertainment, and a golf-outing, in excess of ethical limits, from Leonard Francis and Glenn Defense Marine Asia (GDMA), a prohibited source. Notwithstanding the substantiated allegations, [redacted] (b)(6), (b)(7)(C) has had an exemplary career in the 19 years since they occurred and I find this incident is not reflective of her otherwise superior service to the nation.

2. In relation to the unsubstantiated allegations:

a. On or about 7 August 1998, Leonard Francis, a prohibited source, allegedly took [redacted] (b)(6), (b)(7)(C) on a shopping trip and purchased several items for [redacted] (b)(6), (b)(7)(C). [redacted] (b)(6), (b)(7)(C) denies this allegation and there is insufficient evidence that [redacted] (b)(6), (b)(7)(C) received this gift. The preponderance of the evidence does not support a violation of reference (c).

b. On or about 9 August 1998, Leonard Francis, a prohibited source, allegedly gave [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(A), (b)(7)(C) [redacted] (b)(6), (b)(7)(A), (b)(7)(C) denies this allegation and there is insufficient evidence that [redacted] (b)(6), (b)(7)(C) received this gift. The preponderance of the evidence does not support a violation of reference (c).

c. Based on all the facts and circumstances known to me for these two allegations, I determined that there was insufficient evidence corroborating these allegations. Accordingly, I did not substantiate misconduct for the above allegations against [redacted] (b)(6), (b)(7)(C).

3. In relation to the substantiated allegations:

a. Between 6-9 August 1998, [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of a free hotel room from Leonard Francis and GDMA. Information forwarded by the Department of Justice and the Defense Criminal Investigative Service revealed that Leonard Francis paid for hotel rooms for [redacted] (b)(6), (b)(7)(C) and other SALVOR Sailors in the [redacted] (b)(7)(A). [redacted] (b)(7)(A) I determined that none of the gift exceptions within reference (c) apply.

b. On or about 6 August 1998, [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of dinner drinks and entertainment from Leonard Francis and GDMA. Information forwarded by the Department of Justice and the Defense Criminal Investigative Service revealed that Leonard Francis hosted [redacted] (b)(6), (b)(7)(C) along with several other SALVOR Sailors for dinner, drinks, and entertainment at the [redacted] (b)(7)(A). The preponderance of the evidence supports that Mr. Francis paid for this event. I determined that none of the gift exceptions within reference (c) apply.

c. On or about 6 August 1998, [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of a golfing from Leonard Francis and GDMA. Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that Leonard Francis coordinated a golfing for [redacted] (b)(6), (b)(7)(C) in Kota Kinabalu, Malaysia, which [redacted] (b)(7)(C) accepted. The preponderance of the evidence supports that Mr. Francis paid for this event. I determined that none of the gift exceptions within reference (c) apply.

4. The substantiated findings above constitute adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [redacted] (b)(6), (b)(7)(C) was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [redacted] (b)(6), (b)(7)(C) took or was requested to take any action to benefit GDMA, or that [redacted] (b)(7)(C) solicited gifts in any way. I determined that there are other significant mitigating factors, including:

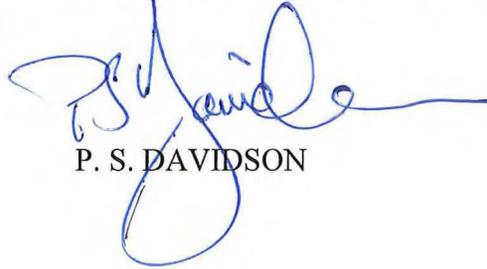
[redacted] (b)(6), (b)(7)(A), (b)(7)(C)

5. I personally addressed this with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

6. I do not recommend that [redacted] (b)(6), (b)(7)(C) be required to show cause for retention in the naval service. [redacted] (b)(6), (b)(7)(C) continues to be a significant contributor and valued leader in the Navy, therefore I recommend that [redacted] (b)(6), (b)(7)(C) be permitted to remain in command.

7. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/196
18 Aug 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [redacted] (b)(6), (b)(7)(C), USN. The allegations cover two distinct periods of [redacted] (b)(6), (b)(7)(C) service. The first period was while [redacted] (b)(6), (b)(7)(C) was serving as [redacted] (b)(6), (b)(7)(C) Carrier Strike Group NINE (CSG 9), deployed aboard USS ABRAHAM LINCOLN (CVN 72) in 2004. The second period was while [redacted] (b)(6), (b)(7)(C) was serving as [redacted] (b)(6), (b)(7)(C) USS MILIUS (DDG 69), in 2012. Based on a preponderance of the evidence, I substantiated one of two misconduct allegations against [redacted] (b)(6), (b)(7)(C). The substantiated misconduct allegation occurred during a port-visit in Kuala Lumpur, Malaysia, in 2012. As set forth below, I found that [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of dinner, in excess of ethical limits, from Leonard Francis and Glenn Defense Marine Asia (GDMA), a prohibited source.

2. In relation to the unsubstantiated allegation, on or about 26 December 2004, during a port visit to Hong Kong, [redacted] (b)(6), (b)(7)(C) attended a dinner hosted by GDMA, a prohibited source. I determined that attendance at this dinner [redacted] (b)(7)(A) [redacted] (b)(6), (b)(7)(A), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) acted in good faith reliance on that opinion and did not otherwise commit misconduct.

3. In relation to the substantiated allegation, on or about 7 February 2012, [redacted] (b)(6), (b)(7)(C) accepted the improper gift of dinner from Leonard Francis and GDMA. Information forwarded by the Department of Justice and the Defense Criminal Investigative Service revealed that [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) attended a small, private dinner hosted by Leonard Francis, along with [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) and other MILIUS Officers. The preponderance of the evidence supports that Mr. Francis paid for this dinner. I determined that none of the gift exceptions within reference (c) apply.

4. The substantiated finding above constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example,

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [redacted] (b)(6), (b)(7)(C) was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [redacted] (b)(6), (b)(7)(C) took or was requested to take any action to benefit GDMA, or that [redacted] (b)(6), (b)(7)(C) solicited gifts in any way. I determined that there are other significant mitigating factors, including:

[redacted] (b)(7)(A)

5. I personally addressed this with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I do not recommend that [redacted] (b)(6), (b)(7)(C) be required to show cause for retention in the naval service. [redacted] (b)(6), (b)(7)(C) continues to be a significant contributor and valued leader in the Navy, therefore I recommend that [redacted] (b)(6), (b)(7)(C) be permitted to remain in command.

7. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/201
8 Sep 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [redacted] (b)(6), (b)(7)(C) while serving as [redacted] (b)(6), (b)(7)(C) USS STENNIS (CVN-74) in 2000, [redacted] (b)(6), (b)(7)(C) USS NIMITZ (CVN-68) in 2005, [redacted] (b)(6), (b)(7)(C) Naval Air Force, U.S. Pacific Fleet in 2007, and [redacted] (b)(6), (b)(7)(C) Carrier Strike Group ONE (CSG-1) in 2009. Based on a preponderance of the evidence, I substantiated four of fourteen allegations against [redacted] (b)(6), (b)(7)(C). The substantiated misconduct allegations occurred during USS STENNIS and USS NIMITZ port visits to Hong Kong and Singapore.

2. In relation to the unsubstantiated allegations:

a. On or about 19 February 2000, while serving as [redacted] (b)(6), (b)(7)(C) USS STENNIS, [redacted] (b)(6), (b)(7)(C) attended a dinner in Kuala Lumpur, Malaysia, funded by GDMA, a prohibited source.

Although [redacted] (b)(6), (b)(7)(C) attended this dinner, he held a reasonable mistake of fact that attendance [redacted] (b)(7)(A). Additionally, [redacted] (b)(6), (b)(7)(C) is alleged to have improperly accepted the gift of a Selangor Pewter tea set or beer mug, funded by GDMA, a prohibited source. There is insufficient evidence that [redacted] (b)(6), (b)(7)(C) received this gift. [redacted] (b)(6), (b)(7)(C) is also alleged to have improperly accepted the gift of a private party, to include drinks and the services of a prostitute, funded by GDMA, a prohibited source. There is insufficient evidence that [redacted] (b)(6), (b)(7)(C) attended the private party. Thus, the preponderance of the evidence does not support a violation of reference (c) for these allegations.

b. From on or about 3 June 2005 to on or about 7 June 2005, while serving as [redacted] (b)(6), (b)(7)(C) USS NIMITZ, [redacted] (b)(6), (b)(7)(C) is alleged to have improperly accepted the gift of discounted lodging in Hong Kong, funded by GDMA, a prohibited source. There is insufficient evidence to establish that [redacted] (b)(6), (b)(7)(C) received the gift of discounted lodging. Thus, the preponderance of the evidence does not support a violation of reference (c) for this allegation.

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C)

c. On or about 5 July 2005, while serving as (b)(6), (b)(7)(C) USS NIMITZ, (b)(6), (b)(7)(C) attended (b)(7)(A) (b)(7)(A) I determined (b)(6), (b)(7)(C) held a reasonable mistake of fact that attendance (b)(7)(A) (b)(7)(A) (b)(6), (b)(7)(C) is also alleged to have improperly accepted the gift of a private party, to include drinks and the services of a prostitute, funded by GDMA, a prohibited source. There is insufficient evidence to establish that (b)(6), (b)(7)(C) attended this private party. Also, on 11 July 2005, (b)(6), (b)(7)(C) is alleged to have improperly endorsed Leonard Francis and GDMA with a Bravo Zulu message. Although (b)(6), (b)(7)(C) did submit a Bravo Zulu message, (b)(6), (b)(7)(C) lacked intent to show favoritism for one husbanding agent over another. Thus, the preponderance of the evidence does not support a violation of reference (c) for these allegations.

d. On divers occasions, while serving as (b)(6), (b)(7)(C) USS STENNIS and (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) USS NIMITZ, (b)(6), (b)(7)(C) is alleged to have improperly accepted gifts of bottles of wine and champagne, funded by GDMA, a prohibited source. Although (b)(6), (b)(7)(C) did consume wine and champagne at the dinners he attended, there is insufficient evidence to establish that (b)(6), (b)(7)(C) received the bottles of alcohol as gifts. Thus, the preponderance of the evidence does not support a violation of reference (c) for this allegation.

e. On or about 2 May 2007, while serving as (b)(6), (b)(7)(C) Naval Air Force, U.S. Pacific Fleet, (b)(6), (b)(7)(C) is alleged to have improperly accepted the gift of a ship model, funded by GDMA, a prohibited source. There is insufficient evidence to establish that (b)(6), (b)(7)(C) received the gift of a ship model. Thus, the preponderance of the evidence does not support a violation of reference (c) for this allegation.

f. On or about 16 October 2009, while serving as (b)(6), (b)(7)(C) CSG-1, (b)(6), (b)(7)(C) is alleged to have violated Article 107 of the Uniform Code of Military Justice, by signing the Questionnaire for National Security Positions (SF-86), an official record, containing a false official statement. The preponderance of the evidence supports that (b)(6), (b)(7)(C) did not consider his personal relationship with Leonard Francis to be "close or continuing" and of such a degree that required reporting. Thus, the preponderance of the evidence does not support a violation of reference (c) for this allegation.

3. In relation to the substantiated allegations:

a. On or about 19 February 2000, while serving as (b)(6), (b)(7)(C) USS STENNIS, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) wrongfully accepted the gift of a Keris Dagger, from Leonard Francis and GDMA, both prohibited sources. (b)(6), (b)(7)(C) acknowledged that he received the gift of a Keris Dagger, a gift with a value in excess of ethically permissible limits, and there is no evidence (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) following acceptance of the gift. I determined that none of the gift exceptions within reference (c) apply.

b. On or about 4 June 2005, while serving as (b)(6), (b)(7)(C), USS NIMITZ, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) wrongfully accepted the gift of a dinner, from Leonard Francis and GDMA, both prohibited sources. Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that (b)(6), (b)(7)(C) attended a dinner at the (b)(7)(A) (b)(6), (b)(7)(A), (b)(7)(C)

Subj: ADVERSE INFORMATION ICO

(b)(6), (b)(7)(C)

(b)(7)(A) which was a gift in excess of ethically permissible limits. I determined that none of the gift exceptions within reference (c) apply.

c. On or about 23 September 2005, while serving as (b)(6), (b)(7)(C) USS NIMITZ, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) wrongfully accepted the gift of a Singapore coffee table book, from Leonard Francis and GDMA, both prohibited sources. Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that (b)(6), (b)(7)(C) received the book. (b)(6), (b)(7)(C) did (b)(7)(A) which was a gift in excess of ethically permissible limits. I determined that none of the gift exceptions within reference (c) apply.

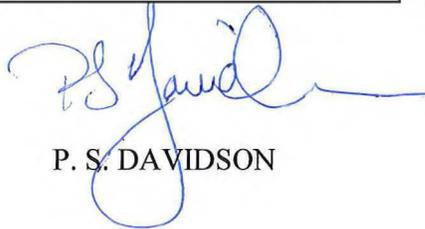
d. On divers occasions, while serving as (b)(6), (b)(7)(C) USS STENNIS and (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) USS NIMITZ, (b)(6), (b)(7)(C) wrongfully accepted gifts of cigars from Leonard Francis and GDMA, both prohibited sources. Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that (b)(6), (b)(7)(C) received gifts of cigars on divers occasions. (b)(6), (b)(7)(C) acknowledged that he received gifts of cigars and there is (b)(6), (b)(7)(A), (b)(7)(C) (b)(7)(A) which were gifts in excess of ethically permissible limits. I determined that none of the gift exceptions within reference (c) apply.

4. The substantiated findings above constitute adverse information in accordance with reference (d).

5. I personally addressed this with (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. Based on the evidence, I am not referring this matter to the Department of Defense Central Adjudication Facility (DODCAF).

7. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:

VCNO (N09D)

CNP

NCIS (b)(6), (b)(7)(C)

DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/203
12 Sep 2017

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS CARL VINSON (CVN 70), (b)(6), (b)(7)(C) CARRIER STRIKE GROUP 5, and (b)(6), (b)(7)(C) U.S. Naval Forces Japan you wrongfully accepted:

a. Gifts of dinners from Leonard Francis and GDMA, a prohibited source, on, but not necessarily limited to, the following occasions:

(b)(7)(A)

b. Gifts of hotel rooms, subsidized in whole or in part, from Leonard Francis and GDMA, a prohibited source, including on, but not necessarily limited to, the following occasions:

(b)(7)(A)

c. Gifts of tangible items from Leonard Francis and GDMA, a prohibited source, including on, but not necessarily limited to, the following occasions:

(b)(7)(A)

Subj: REQUEST FOR INFORMATION

(b)(7)(A)

2. In addition, we reviewed credible evidence that you (b)(7)(A)
(b)(7)(A)
(b)(7)(A) from Leonard Francis.

3. This serves as your opportunity to provide input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

4. Please provide any response no later than Friday, 29 September 2017. Should you have any questions or require additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/199
14 Sep 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO CDA Memo dtd 1 Oct 15
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [REDACTED] USN while he was serving as [REDACTED] USS RONALD REAGAN (CVN 76), in 2009. Based on a preponderance of the evidence, I substantiated one allegation against [REDACTED]. The substantiated allegation occurred during a port visit in Singapore in 2009. As set forth below, I found that [REDACTED] wrongfully accepted the improper gift of attendance at a dinner and subsequent private party that included food, alcohol and entertainment, in excess of permissible limits, from Leonard Francis and Glenn Defense Marine Asia (GDMA), a prohibited source.

2. On 27 June 2009, [REDACTED] along with [REDACTED] attended a dinner sponsored by Leonard Francis at [REDACTED]. After dinner, [REDACTED] along with his [REDACTED] attended a private party at [REDACTED] along with Mr. Francis. Mr. Francis also paid for this event. Receipts show that the dinner and private party (including food, alcohol, and entertainment) were paid for by GDMA and were in excess of ethically permissible limits. I determined that none of the gift exceptions within reference (c) apply.

3. The substantiated finding above constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [REDACTED] was aware at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [REDACTED] took or was requested to take any action to benefit GDMA, or that he solicited gifts in any way. I determined that there are other significant mitigating factors, including:

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

a. The knowledge of his actions by (b)(6), (b)(7)(C) The evidence showed that

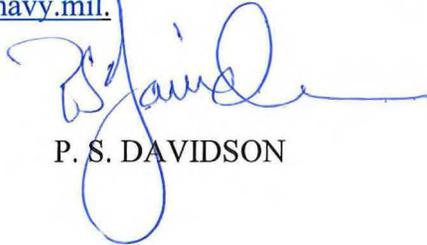
(b)(6), (b)(7)(A), (b)(7)(C)

(b)(7)(A)

4. I personally addressed this with (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

5. I do not recommend that (b)(6), (b)(7)(C) be required to show cause for retention in the Naval Service. (b)(6), (b)(7)(C) continues to be a significant contributor and valued leader in the Navy.

6. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) [@navy.mil](mailto:(b)(6), (b)(7)(C)@navy.mil).



P. S. DAVIDSON

Copy to:
VCNO (N09D)

CNP (b)(6), (b)(7)(C)
NCIS
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/209
18 Sep 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS WAYNE E. MEYER (DDG 108), you:

(b)(7)(A)

2. There is also additional information that was developed during the GDMA investigation that, while serving as (b)(6), (b)(7)(C) USS WAYNE E. MEYER (DDG 108), you:

(b)(7)(A)

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response no later than 2 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) [@navy.mil](mailto:(b)(6), (b)(7)(C)@navy.mil).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/210
18 Sep 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS VINCENNES (CG 49), you:

(b)(6), (b)(7)(A), (b)(7)(C)

2. This serves as your opportunity to provide any desired input regarding these allegations or interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

3. Please provide any response no later than 2 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) [@navy.mil](mailto:(b)(6), (b)(7)(C)@navy.mil).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/219
25 Sep 17

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS SHILOH (CG 67), you:

(b)(7)(A)

2. There is also additional information that was developed during the GDMA investigation that, while serving as (b)(6), (b)(7)(C) USS SHILOH (CG 67), you:

(b)(7)(A)

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response no later than 9 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/218
27 Sep 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct by [REDACTED] (b)(6), (b)(7)(C) USN, while [REDACTED] (b)(6), (b)(7)(C) was serving as a [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) USS ACADIA (AD 42), in 1992. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(C). In addition, there is no evidence that [REDACTED] (b)(6), (b)(7)(C) took any official action to benefit GDMA, a prohibited source.

2. Specifically, I determined that:

a. On or about May 1992, [REDACTED] (b)(6), (b)(7)(C) accepted the gift of a dinner and private party with the [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) in excess of ethically permissible limits, paid for by Leonard Francis. I determined that none of the gift exceptions in reference (c) apply. However, based on all the facts and circumstances known to me about this allegation, I determined that [REDACTED] (b)(6), (b)(7)(C) held an honest and reasonable belief that attendance was [REDACTED] (b)(7)(A) [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

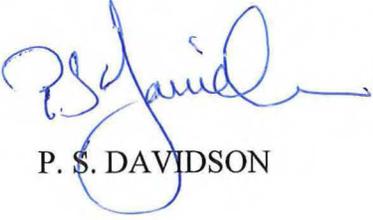
b. The following night, on or about May 1992, [REDACTED] (b)(6), (b)(7)(C) is alleged to have accepted the gift of alcohol and entertainment in excess of ethically permissible limits, paid for by Leonard Francis. There is insufficient evidence to establish that [REDACTED] (b)(6), (b)(7)(C) was present at this event. Thus, the preponderance of the evidence does not support a violation of reference (c) for this allegation.

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP ([redacted])
NCIS ([redacted]) (b)(6), (b)(7)(C)
DCIS ([redacted])



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/220
27 Sep 17

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as an (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) Commander, U.S. Seventh Fleet, you:

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

3. Please provide any response no later than 11 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/221
27 Sep 17

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) Commander, U.S. Seventh Fleet, you (b)(7)(A)

(b)(7)(A)

2. There is also additional information that was developed during the GDMA investigation that, while serving as (b)(6), (b)(7)(C) Commander, U.S. Seventh Fleet, you:

(b)(7)(A)

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response no later than 11 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/222
27 Sep 17

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) Commander, U.S. Seventh Fleet, you:

(b)(7)(A)

2. There is also additional information that was developed during the GDMA investigation that, while serving as (b)(6), (b)(7)(C) Commander, U.S. Seventh Fleet, you (b)(7)(A)

(b)(7)(A)

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response no later than 11 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

4. Please provide any response no later than 11 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/223
27 Sep 17

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Commander, U.S. Seventh Fleet, you

(b)(7)(A)

(b)(7)(A)

2. There is also additional information that was developed during the GDMA investigation that, while serving as (b)(6), (b)(7)(C) Commander, U.S. Seventh Fleet, you:

(b)(7)(A)

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

Subj: REQUEST FOR INFORMATION

4. Please provide any response no later than 11 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/224
28 Sep 17

From: Commander, U.S. Fleet Forces Command

To: [redacted] USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed information that, while serving as [redacted] USS STENNIS (CVN 74) and [redacted] USS PELELIU (LHA 5), you:

[redacted]

(b)(6), (b)(7)(A), (b)(7)(C)

2. As background information, USS STENNIS (CVN 74) was in port Singapore from on or about 18 to 23 July 2007. USS PELELIU (LHA 5) was in port Singapore from on or about 2 to 5 June 2008. [redacted] served as the [redacted] from approximately 2003 until his retirement on 1 July 2006.

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response no later than 6 October 2017. Should you have any questions or need additional time, please contact [redacted] at [redacted] or [redacted]@navy.mil.

[redacted]

(b)(6), (b)(7)(C)

[redacted]

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/207
29 Sep 17

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. §2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a) and (b), I reviewed evidence of possible misconduct by [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) USN, while serving as [redacted] (b)(6), (b)(7)(C) USS CHUNG-HOON (DDG 93) in 2006. The allegation occurred in Malaysia during a CHUNG-HOON port visit to Kota Kinabalu, Malaysia. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against [redacted] (b)(6), (b)(7)(C). In addition, there is no evidence that [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) took any official action to benefit GDMA, a prohibited source.

2. Specifically, I determined that on or about February 2006, [redacted] (b)(6), (b)(7)(C) accepted the gift of a wooden name plaque from GDMA, which had a fair market value of less than \$20 USD. The preponderance of the evidence did not support a violation of reference (c).

3. Per reference (c), unsolicited gifts with a value of \$20 USD or less are ethically permissible to keep. Moreover, [redacted] (b)(6), (b)(7)(A), (b)(7)(C) [redacted] (b)(7)(A). Thus, even if the plaque had a fair market value of greater than \$20 USD, he would be entitled to the Safe Harbor provisions of reference (c) as he acted in good faith reliance on that opinion and did not otherwise commit misconduct.

3. The above finding constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

4. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/225
29 Sep 17

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) Expeditionary Strike Group ONE, you:

(b)(7)(A)

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response no later than 13 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/208
6 Oct 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct by [redacted] (b)(6), (b)(7)(C) USN, while serving as [redacted] (b)(6), (b)(7)(C) USS PELELIU (LHA 5), in 2008. I determined that a preponderance of the evidence does not support the misconduct allegation that [redacted] (b)(6), (b)(7)(C) accepted the gift of a dinner in San Diego, California from GDMA.

2. Specifically, between on or about 1 May 2008 to on or about 3 May 2008, [redacted] (b)(6), (b)(7)(C) is alleged to have accepted the gift of a dinner in San Diego, California, from [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C). There is insufficient evidence to establish that [redacted] (b)(6), (b)(7)(C) attended this dinner. I determined that the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution, nor would it be appropriate in this case.

4. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/226
6 Oct 17

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [redacted] (b)(6), (b)(7)(C), while serving as [redacted] (b)(6), (b)(7)(C) Carrier Strike Group ELEVEN (CSG-11) from June 2006 to May 2008. Based on a preponderance of the evidence, I substantiated two of five misconduct allegations against [redacted] (b)(6), (b)(7)(C). The substantiated misconduct allegations occurred during the CSG-11 deployment from April 2007 to September 2007.

2. In relation to the unsubstantiated allegations:

a. Between on or about 20 August to 23 August 2007, [redacted] (b)(6), (b)(7)(C) accepted the improper gift of discounted hotel lodging for [redacted] (b)(6), (b)(7)(C) in Hong Kong from GDMA, a prohibited source. Although [redacted] (b)(6), (b)(7)(C) accepted the discounted hotel lodging, the preponderance of the evidence does not support a violation of reference (c).

b. Between on or about 25 August and 1 September 2007, [redacted] (b)(6), (b)(7)(C) accepted the improper gift of discounted hotel lodging for [redacted] (b)(6), (b)(7)(C) in Singapore from GDMA, a prohibited source. Although [redacted] (b)(6), (b)(7)(C) accepted the discounted hotel lodging, the preponderance of the evidence does not support a violation of reference (c).

c. Based on the facts and circumstances known to me for the foregoing allegations, I determined [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that he paid the fair market value for the hotels in Hong Kong and Singapore, and accordingly, that he was acting in an ethically permissible manner. I also determined that it was reasonable for [redacted] (b)(6), (b)(7)(C) to be unaware that GDMA subsidized his hotel rooms due to the [redacted] (b)(7)(A)

[redacted] (b)(7)(A) Accordingly, I did not substantiate misconduct for the above allegations against [redacted] (b)(6), (b)(7)(C)

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)

d. On or about 25 June to 1 August 2007, [redacted] (b)(6), (b)(7)(C) accepted the improper gift of a Selangor pewter plate with a market value in excess of ethical limits from GDMA, a prohibited source. There was insufficient evidence that [redacted] (b)(6), (b)(7)(C) received the Selangor pewter plate. Therefore, the preponderance of the evidence does not support a violation of reference (c). Accordingly, I did not substantiate misconduct for this allegation against [redacted] (b)(6), (b)(7)(C)

3. In relation to the two substantiated allegations:

a. On or about 29 August 2007, [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of a dinner at [redacted] (b)(7)(A) from GDMA, a prohibited source. Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that [redacted] (b)(6), (b)(7)(C) attended this dinner at [redacted] (b)(7)(A) as the [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) along with other officers, GDMA employees and Mr. Francis. The preponderance of the evidence supports that Mr. Francis paid for this dinner valued at between [redacted] (b)(7)(A) per person, which was a gift in excess of ethically permissible limits. I determined that none of the gift exceptions within reference (c) apply.

b. On or about 8 July to 6 September 2007, in response to requests from Leonard Francis, [redacted] (b)(6), (b)(7)(C) improperly endorsed GDMA by [redacted] (b)(7)(A)

[redacted] (b)(6), (b)(7)(A), (b)(7)(C)

(c).

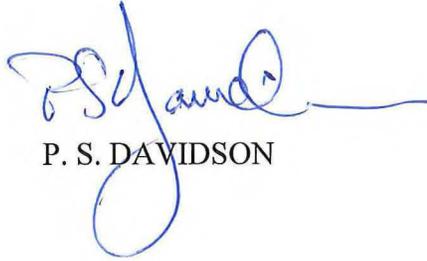
4. The substantiated findings above constitute adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [redacted] (b)(6), (b)(7)(C) was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [redacted] (b)(6), (b)(7)(C) took action to benefit GDMA aside from the [redacted] (b)(6), (b)(7)(C) written in 2007, or that he solicited gifts in any way. I determined that there are other significant mitigating factors, including:

[redacted] (b)(6), (b)(7)(A), (b)(7)(C)

5. I personally addressed this with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)

6. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/227
6 Oct 17

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [redacted] (b)(6), (b)(7)(C) while serving as [redacted] (b)(6), (b)(7)(C) Carrier Strike Group ELEVEN (CSG-11) from March 2008 to March 2010. I determined that a preponderance of the evidence does not substantiate the misconduct allegations against [redacted] (b)(6), (b)(7)(C). In addition, there is no evidence the [redacted] (b)(6), (b)(7)(C) took any official action to benefit GDMA, a prohibited source.

2. Specifically, I determined that:

a. On or about 31 January 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 1 February 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) participated in this golf outing, the preponderance of the evidence does not support a violation of reference (c).

c. On or about 8 February 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

d. Based on the facts and circumstances known to me for the foregoing allegations, I determined [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that attendance at the golf outing and dinners [redacted] (b)(7)(A) I also determined that [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of fact that [redacted] (b)(7)(A)

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)

[redacted] (b)(7)(A)
[redacted] (b)(7)(A) Accordingly, I did not substantiate misconduct against
[redacted] (b)(6), (b)(7)(C)

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/228
 6 Oct 17

From: Commander, United States Fleet Forces Command
 To: Chief of Naval Personnel
 Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
 (b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [redacted] (b)(6), (b)(7)(C) USN, while he was serving as [redacted] (b)(6), (b)(7)(C) Destroyer Squadron TWENTY THREE (DESRON 23), and embarked with Carrier Strike Group ELEVEN (CSG-11) in 2010. Based on a preponderance of the evidence, I substantiated one of four allegations of misconduct against [redacted] (b)(6), (b)(7)(C). The substantiated allegation of misconduct occurred during a CSG-11 port-visit in Kuala Lumpur, Malaysia in 2010. As set forth below, I found that [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of free attendance at a private party, in excess of ethical limits, from Leonard Francis and GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations:

a. On or about 31 January 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 1 February 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) attended this golf-outing, the preponderance of the evidence does not support a violation of reference (c).

c. On or about 8 February 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

d. Based on all the facts and circumstances known to me for these three allegations, I determined [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that attendance at the events [redacted] (b)(7)(A) [redacted] (b)(7)(A) I also determined that [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of fact that [redacted] (b)(7)(A)

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

[redacted] (b)(7)(A)
[redacted] (b)(7)(A) Accordingly, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C)

3. In relation to the substantiated allegation, on or about 8 February 2010, [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of free attendance at a private party that included alcohol and entertainment, from Leonard Francis and GDMA. Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that [redacted] (b)(6), (b)(7)(C) attended a private party [redacted] (b)(7)(A) [redacted] (b)(7)(A) along with Mr. Francis and several other officers from the Strike Group. The preponderance of the evidence supports that Mr. Francis paid for this event. Moreover, [redacted] (b)(6), (b)(7)(C) remained in a private room at the nightclub for several hours with Mr. Francis after having been offered the services of prostitutes, and then departed the establishment, leaving behind at least one [redacted] (b)(6), (b)(7)(C) I determined that none of the gift exceptions within reference (c) apply.

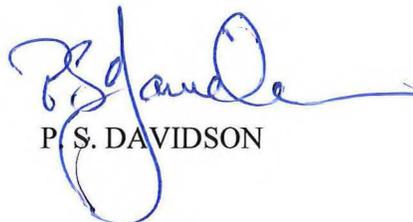
4. The substantiated finding above constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [redacted] (b)(6), (b)(7)(C) was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [redacted] (b)(6), (b)(7)(C) took, or was requested to take, any action to benefit GDMA, or that he solicited gifts in any way. I determined that there are other significant mitigating factors, including:

[redacted] (b)(6), (b)(7)(A), (b)(7)(C)

5. I personally addressed this with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I do not recommend that [redacted] (b)(6), (b)(7)(C) be required to show cause for retention in the naval service.

7. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP ([redacted])
NCIS ([redacted] (b)(6), (b)(7)(C))
DCIS ([redacted])



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/230
6 Oct 17

From: Commander, United States Fleet Forces Command
To: Commander, Navy Personnel Command

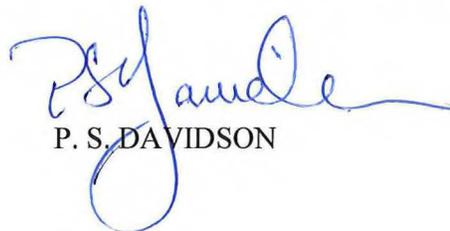
Subj: CONCLUSION OF REVIEW ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed matters forwarded by the Department of Justice (DoJ) and Defense Criminal Investigative Service (DCIS) regarding [redacted] (b)(6), (b)(7)(C) USN, while he served as [redacted] (b)(6), (b)(7)(C) Destroyer Squadron FIFTEEN (DESRON 15) onboard USS KITTY HAWK (CV 63) in 2004. In particular, I examined circumstances associated with the gift of alcoholic beverages at a bar in Singapore, paid for by Leonard Francis and GDMA on or about 13 April 2004. After a thorough review, I determined that there is no evidence on which to base any viable allegation of misconduct. In addition, there is no evidence he attended any of the events paid for by Leonard Francis or GDMA, nor did he personally accept any gifts from Leonard Francis or GDMA.

2. The CDA informs Navy Personnel Command (NPC) of each case referred by the DoJ and DCIS. [redacted] (b)(6), (b)(7)(C) was referred to the CDA on or about 8 September 2017.

3. I recommend that NPC remove any promotion holds, delays, or other administrative actions associated with [redacted] (b)(6), (b)(7)(C) and this GDMA matter. My point of contact for this letter is [redacted] (b)(6), (b)(7)(C) may be reached by e-mail at [redacted] (b)(6), (b)(7)(C) @navy.mil or telephone at [redacted] (b)(6), (b)(7)(C)



P. S. DAVIDSON

Copy to:
VCNO (N09D)
NAVIG

NCIS [redacted]
DCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/233
6 Oct 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [redacted] (b)(6), (b)(7)(C) USN, while [redacted] (b)(6), (b)(7)(C) was serving as [redacted] (b)(6), (b)(7)(C) USS PINCKNEY (DDG 91), while deployed with Carrier Strike Group ELEVEN (CSG-11) in 2010. Based on a preponderance of the evidence, I substantiated one of four allegations of misconduct against [redacted] (b)(6), (b)(7)(C). The substantiated allegation of misconduct occurred during a CSG-11 port-visit in Kuala Lumpur, Malaysia in 2010. As set forth below, I found that [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift free of attendance at a private party, in excess of ethical limits, from Leonard Francis and GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations:

a. On or about 31 January 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 1 February 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) attended this golf-outing, the preponderance of the evidence does not support a violation of reference (c).

c. On or about 8 February 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

d. Based on all the facts and circumstances known to me for these three allegations, I determined [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that attendance at the events [redacted] (b)(7)(A) [redacted] (b)(7)(A)

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

(b)(7)(A) I also determined that [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of fact that [redacted] (b)(7)(A)
[redacted] (b)(7)(A) Accordingly, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C)

3. In relation to the substantiated allegation, on or about 8 February 2010, [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of free attendance at a private party that included alcohol and entertainment, from Leonard Francis and GDMA. Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that [redacted] (b)(6), (b)(7)(C) attended a private party [redacted] (b)(7)(A) along with Mr. Francis and several other officers from the Strike Group. [redacted] (b)(6), (b)(7)(C) remained at this private party for hours after having been offered the services of prostitutes. The preponderance of the evidence supports that Mr. Francis paid for this event. I determined that none of the gift exceptions within reference (c) apply.

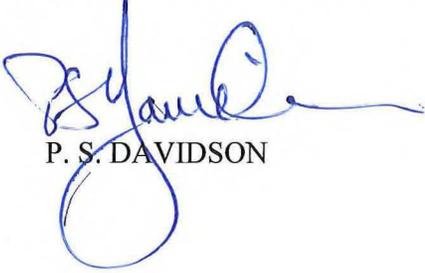
4. The substantiated finding above constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [redacted] (b)(6), (b)(7)(C) was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [redacted] (b)(6), (b)(7)(C) took or was requested to take any action to benefit GDMA, or that he solicited gifts in any way. I determined that there are other significant mitigating factors, including:

[redacted] (b)(7)(A)

5. I personally addressed this with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I do not recommend that [redacted] (b)(6), (b)(7)(C) be required to show cause for retention in the naval service. Additionally, I recommend that [redacted] (b)(6), (b)(7)(C) be permitted to remain in command.

7. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/235
 6 Oct 17

From: Commander, United States Fleet Forces Command
 To: Chief of Naval Personnel
 Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
 (b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [redacted] (b)(6), (b)(7)(C) while he was serving as [redacted] (b)(6), (b)(7)(C) USS PINCKNEY (DDG 91), while deployed with Carrier Strike Group ELEVEN (CSG-11) in 2010. Based on a preponderance of the evidence, I substantiated one of three allegations of misconduct against [redacted] (b)(6), (b)(7)(C). The substantiated allegation of misconduct occurred during a CSG-11 port-visit in Kuala Lumpur, Malaysia in 2010. As set forth below, I found that [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift free of attendance at a private party, in excess of ethical limits, from Leonard Francis and GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations:

a. On or about 31 January 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 8 February 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

c. Based on all the facts and circumstances known to me for these two allegations, I determined [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that attendance at the events [redacted] (b)(7)(A) [redacted] (b)(7)(A). I also determined that [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of fact that [redacted] (b)(7)(A) [redacted] (b)(7)(A).

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)

Accordingly, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C)

3. In relation to the substantiated allegation, on or about 8 February 2010, [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of free attendance at a private party that included alcohol and entertainment, from Leonard Francis and Glenn Defense Marine Asia (GDMA). Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that [redacted] (b)(6), (b)(7)(C) attended a private party [redacted] (b)(7)(A) along with Mr. Francis and several other officers from the Strike Group. [redacted] (b)(6), (b)(7)(C) remained at this private party for hours after having been offered the services of prostitutes. The preponderance of the evidence supports that Mr. Francis paid for this event. I determined that none of the gift exceptions within reference (c) apply.

4. The substantiated finding above constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [redacted] (b)(6), (b)(7)(C) was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [redacted] (b)(6), (b)(7)(C) took or was requested to take any action to benefit GDMA, or that he solicited gifts in any way. I determined that there are other significant mitigating factors, including:

[redacted] (b)(7)(A)

5. I personally addressed this with [redacted] (b)(6), (b)(7)(C) and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP ([redacted])
NCIS ([redacted] (b)(6), (b)(7)(C))
DCIS ([redacted])



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/237
6 Oct 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [redacted] (b)(6), (b)(7)(C) USN, while he was serving as [redacted] (b)(6), (b)(7)(C) USS NIMITZ (CVN 68), while deployed with Carrier Strike Group ELEVEN (CSG-11) in 2010. Based on a preponderance of the evidence, I substantiated one of two allegations of misconduct against [redacted] (b)(6), (b)(7)(C). The substantiated allegation of misconduct occurred during a CSG-11 port-visit in Kuala Lumpur, Malaysia in 2010. As set forth below, I found that [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift free of attendance at a private party, in excess of ethical limits, from Leonard Francis and GDMA, a prohibited source.

2. In relation to the unsubstantiated allegation, on or about 8 February 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c). Based on all the facts and circumstances known to me for this allegation, I determined [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that attendance at the event was [redacted] (b)(7)(A) [redacted] (b)(7)(A) I also determined that [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of fact that [redacted] (b)(7)(A) [redacted] (b)(7)(A) Accordingly, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C)

3. In relation to the substantiated allegation, on or about 8 February 2010, [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of free attendance at a private party that included alcohol and entertainment, from Leonard Francis and GDMA. Information forwarded by the Department of Justice and Defense Criminal Investigative Service revealed that [redacted] (b)(6), (b)(7)(C) attended a private party [redacted] (b)(7)(A) along with Mr. Francis and several other officers from the Strike Group. [redacted] (b)(6), (b)(7)(C) remained at this private

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

party for hours after having been offered the services of prostitutes. The preponderance of the evidence supports that Mr. Francis paid for this event. I determined that none of the gift exceptions within reference (c) apply.

4. The substantiated finding above constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Leonard Francis' criminal enterprise against the United States, there is no evidence that [redacted] (b)(6), (b)(7)(C) was aware, at the time of the event, of Leonard Francis' criminal activities. In addition, there is no evidence that [redacted] (b)(6), (b)(7)(C) took or was requested to take any action to benefit GDMA, or that he solicited gifts in any way. I determined that there are other significant mitigating factors, including:

[redacted] (b)(6), (b)(7)(A), (b)(7)(C)

5. I personally addressed this with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I do not recommend that [redacted] (b)(6), (b)(7)(C) be required to show cause for retention in the naval service.

7. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP ([redacted])
NCIS ([redacted] (b)(6), (b)(7)(C))
DCIS ([redacted])



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/238
6 Oct 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff reviewed credible evidence that:

(b)(7)(A)

2. There is also additional information that was discovered during the GDMA investigation that:

(b)(7)(A)

(b)(7)(A)

3. This serves as your opportunity to provide any desired input regarding these allegations or interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

4. Please provide any response no later than 23 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) [@navy.mil](mailto:(b)(6), (b)(7)(C)@navy.mil).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/239
6 Oct 2017

From: Commander, United States Fleet Forces Command
To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that on or about 21 February 2011, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) USS ESSEX (LHD 2), you (b)(7)(A)

(b)(7)(A)

2. There is also additional information that was discovered during the GDMA investigation that, while serving as (b)(6), (b)(7)(C) USS ESSEX (LHD 2), you:

(b)(7)(A)

3. This serves as your opportunity to provide any desired input regarding these allegations or interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

4. Please provide any response no later than 20 October 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/245
18 Oct 17

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS RONALD REAGAN (CVN 76), you (b)(7)(A)

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response no later than 1 November 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/243
20 Oct 17

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that:

(b)(7)(A)

Subj: REQUEST FOR INFORMATION

(b)(7)(A)

2. There is also additional information that was developed during the GDMA investigation that:

(b)(7)(A)

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response no later than 6 November 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/246
25 Oct 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C)

Ref: (a) CDA Request for Information ltr 5800 Ser CDA/61 of 28 Dec 16

Subj: SUPPLEMENTAL REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN 68), you (b)(7)(A)

(b)(7)(A)

2. There is also additional information that while serving as the (b)(6), (b)(7)(C) USS NIMITZ

(b)(7)(A)

3. Reference (a) and this request serves as your opportunity to provide information concerning these allegations. Any information provided by you will be considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response no later than 8 November 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/247
25 Oct 17

From: Commander, United States Fleet Forces Command
To: [redacted] USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as [redacted] [redacted] you:

[redacted] (b)(7)(A)

2. There is also additional information that was developed during the GDMA investigation that, while serving as [redacted] [redacted]

[redacted] (b)(7)(A)

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response not later than 9 November 2017. Should you have any questions or need additional time, please contact [redacted] at [redacted] or

[redacted] [@navy.mil](mailto:[redacted]@navy.mil).
[redacted] (b)(6), (b)(7)(C)

[redacted] (b)(6), (b)(7)(C) or
[redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/265
31 Oct 17

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) for CTF-70, you (b)(7)(A) (b)(7)(A)

2. There is also additional information that was developed during the GDMA investigation that, while serving as (b)(6), (b)(7)(C) for CTF-70, you:

(b)(7)(A)

3. This serves as your opportunity to provide information concerning these allegations. Any information provided by you will be considered by the GDMA CDA in deliberations on this matter.

4. Please provide any response no later than 15 November 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)