

OUTLINE SHEET 6.1**Computer Security (Information Assurance (IA)) Program****REFERENCES**

SECNAV M-5510.30, Chapter 2
SECNAV M-5510.36, Chapter 2, 6, and 12
SECNAV M-5239.1, DON Information Assurance Program
IA P-5239-22, IA Protected Distribution System (PDS) Publication
OPNAVINST C5510.93F/MCO C5510.19, Navy/Marine Corps
Implementation of National Policy on Control of Compromising
Emanations (U)

OUTLINE**A. Computer Security (Information Assurance (IA)) Program**

1. Commander Naval Network Warfare Command (COMNETWARCOM) is the Navy's central operational authority for this program.
2. Scope: All DON activities, organizations and contractors using information systems or networks at DON activities and contractor operated or owned facilities under DON authority
3. Information Assurance (IA) (definition): The protection of information systems (IS) *against*:
 - Unauthorized access to or modification of information
 - Denial of service to authorized users, and
 - Providing service to unauthorized users

NOTE: IA also includes measures necessary to detect, document, and counter those threats

4. Commands with information systems **(ISP 2-7, PSP 2-8)**
 - a. CO designates, in writing, an Information Assurance Manager (IAM) who serves as POC for all command IA issues and implements command's IA program

- b. CO also designates, in writing Information Assurance Officer(s) to implement and maintain command's network security requirements

5. Program Objectives

- a. Provide complete set of services to information systems developers and users
- b. Provide systems security engineering and integration of critical IA products, techniques and designs into DON systems (NIPRNET and SIPRNET)
- c. Protect the confidentiality, integrity, availability, authentication and non-repudiation (i.e., information assurance) of information (classified, privacy act, sensitive but unclassified and for official use only) and resources to the degree commensurate with their value
- d. Employ efficient procedures and cost-effective, information-based security features to protect against *accidental* or *intentional* modification, disclosure, destruction, and/or denial of service; concerns are:
 - Insider threat
 - Hacker/Cracker
 - Malicious code/viruses/worms
 - State sponsored computer network attack
 - Self-imposed or deliberate actions of others

Viruses are a major concern. They can:

- Deliberately destroy documents/data files, put messages on screens or otherwise create a nuisance and interrupt work
- Be present in files, hidden on system areas of disks or even on disks that apparently contain no files
- Adopt good, virus-aware habits
- Use special virus-detection software (can download from Navy INFOSEC Website <https://infosec.navy.mil>) along with anti-spyware and firewall protection

- Keep virus detection software updated by also downloading monthly signatures (updates) from the INFOSEC Web page
- Report all computer viruses to Navy Cyber Defense Operations Command (NCDOC) (previously known as NAVCIRT)
- Promptly eliminate viruses if found
- If get a virus do not operate computer until cleaned - if virus is on a workstation attached to a network disconnect until workstation checked

To protect against viruses

- Beware of an e-mail message with a binary file attachment - scan before opening
 - Use a virus scanner that can eradicate macro viruses
 - Install a memory-resident virus checker to detect suspicious program activity
 - Regularly scan hard drive for viruses
 - Scan anything you download off Net or online services
 - Use several types of virus scanners
 - Scan all portable media brought into your command
 - Password protect systems
 - Use only legitimate software obtained from a known source)
- e. Conduct an assessment of threats, identify appropriate combination of safeguards and apply an appropriate level of certification and accreditation for each specific IS

B. Certification and Accreditation

1. Certification - A comprehensive evaluation of the technical and non-technical security features and other safeguards of a network or IS to establish extent to which a particular design and implementation meet a set of specified security requirements
2. Accreditation - Formal declaration by a Designated Approving Authority (DAA) that a geographic site or an IS is approved to operate in a prescribed operational configuration using a defined set of safeguards and

countermeasures against stated threats and vulnerabilities (NETWARCOM is the Navy DAA)

3. DIACAP (DOD Information Assurance and Certification and Accreditation Process)- Promulgated by Interim DOD IA and Certification Guidance
 - a. Standardizes process used by all DOD activities and incorporates a formal, four-phased approach: Definition, Verification, Validation and Post Accreditation Phases
 - b. Benefits
 - "Designing-in" of appropriate level of security features into new and evolving systems (precludes later retrofit)
 - Reduces required security documentation through creation of a System Security Authorization Agreement (SSAA)
 - Enables reciprocity between various services/agencies
 - Establishes single process for all DOD
4. Accreditation of systems - Essential to success of DON's IA Program
 - a. Additional aspects
 - Personnel security - Access based on individual's loyalty, reliability and trustworthiness
 - Physical security - Protection from damage, loss, theft, or unauthorized physical access
 - Procedural security - Ensure continuous operation of system/network
 - Education, training and awareness
 - b. If a system is not yet accredited, but must become operational, an Interim Approval to Operate (IATO) must be issued by the DAA

C. Processing of Controlled Unclassified Information and Classified Information on Information Systems

1. All DON information systems are designated as sensitive regardless of whether information processed is classified or unclassified
 - a. Information entered, stored or transmitted will not exceed the approved classification or sensitivity level for the system or network
 - b. All systems must have some type of access control system (normally passwords are used) to ensure only authorized personnel have access
2. Controlled unclassified information
 - a. Information which, although not classified, its loss, misuse, unauthorized access to, or modification of could adversely affect: the national interest; conduct of Federal Programs; or privacy of DOD personnel
 - b. Examples: Privacy Act Information, Financial Information, Medical Records, Proprietary Information, For Official Use Only (FOUO)
3. Classified Information - Can only be processed and stored on a system/network which meets the requirements for classified processing
 - a. Stand-alone systems must either have removable hard drives which can be removed and stored in an authorized security container, or be located in a vault/secure room authorized for open storage at the level being processed
 - b. Network systems shall:
 - Meet the encryption and cabling requirements
 - Have the server located in a vault/secure room authorized for open storage at the level of the server
 - Individual workstations shall either have removable hard drives or be located in a vault/secure room authorized for open storage at the level of the network

- c. Meet the requirements of NAVSO (IA) PUB 5239-22, Protected Distribution System (PDS). If system is located in a:
- Secure room - A PDS is not required for classified information processed at or below the authorized "open storage" level for a secure room or vault
 - Controlled Access Area (CAA) - A PDS will not be required for classified information processed at or below the level of the classification level of the CAA. Unprotected cables may be run within, but not outside the perimeter of the CAA
 - Restricted Access Area (RAA) - A PDS and lock box equipped with an approved PDS lock is required.

NOTE: PDS carrying Security Manager will designate CAAs and RAAs in writing that the physical security standards for a CAA or RAA have been (IAM will certify the PDS). The CAA or RAA are for areas through which PDS carrying classified information traverse (**CNO ltr ser N09N2/9U223112 of 7 May 2009, Interim Policy Changes, Reminders and clarifying Guidance to SECNAV M-5510.36**)

- d. Emanations Security (TEMPEST) Requirements
- (1) Governing instruction: OPNAVINST C5510.93F/MCO C5510.19
 - (2) TEMPEST Policy - National security information will not be compromised by exposure to unauthorized interception of compromising emanations from Classified Information Processing systems
 - (3) Procedures for TEMPEST certification are outlined in the TEMPEST instructions

D. Marking Equipment, Media and Hard Copy (ISP 6-34, 6-3353, Exhibit 6A-20 & 21)

1. Removable computer storage media and devices (i.e., external hard drives, zip drives, CD-ROM's, disk cartridges, cassettes, diskettes magnetic disk packs/drives), thumb drives containing classified information:
 - a. Mark using color coded labels: SF 706 (TS); 707 (S); 708 (C); 710 (U); 712 (SCI)
 - b. Mark with labels on front only to clearly indicate highest overall classification level of the information they contain

NOTE: When approved labels are not feasible due to interference with operation of the system or because of size of media, other means for marking may be used as long as they appropriately convey the classification and other required markings

2. Equipment/Hardware (non removable)
 - a. Marking externally with highest overall level of information it stores/possesses is optional
 - b. If used, marking may be electronically stamped, printed, etched, written, engraved, painted, SF label, tag sticker, decal, or other similar device
3. Internal Markings
 - a. Program systems processing classified information to clearly show appropriate classification level and associated markings when information is reproduced/generated, to include email sent over a classified system
 - b. IAMS and IAOS will ensure that all systems provide for classification designation of data stored in internal memory or maintained on fixed storage media

4. Hard copy - Mark per the requirements for marking any other documents if it contains controlled unclassified or classified information

E. Use of Portable Electronic Devices (CNO 272200Z APR 01)

1. Portable Electronic Device (PED) - Generic title used to describe the myriad of small electronic items widely available for purchase
 - a. Becoming difficult to differentiate between these devices as trend is to combine capabilities and functions in various forms/formats
 - b. Ability of PEDs to directly interface with other devices and networks via radio frequency, infrared, and cable connections becoming more common
2. Policy guidance applies to all PEDs to include pagers, mobile/cell phones, personal digital assistants/job performance aids, laptop/notebook computers, digital imagery (still/video) devices and devices of similar capability, functionality or design
3. Non-Navy owned PEDS are prohibited from handling U.S. government protected information (classified information, restricted and sensitive but unclassified data/information)
4. Navy-owned PED use is authorized, as detailed below, provided a conscious decision is made by cognizant security authority concerning managing risks associated with their use and use is governed by appropriate policy.
 - a. May be used to handle U.S. government protected information (classified information, restricted and sensitive but unclassified data/information) provided the PED meets security requirements for that mode of operation, data sensitivity, and classification level of material
 - b. Will not be connected to systems processing U.S. government protected information without permission of designated AIS accreditation authority/senior security authority

- c. Will be safeguarded at same level of the protected information system
5. All PEDs containing wireless communications or connectivity capability, audio, video, photographic recording and/or transmission capabilities will be restricted from areas where U.S. government protected information is processed or discussed, except when approved by senior cognizant security authority, based on a risk assessment and appropriate security countermeasures

F. Electronic Spillage of Classified Information (ISP 12-1)

1. Definition - When data is placed on an IT system possessing insufficient information security controls to protect the data at the required classification (e.g., Top Secret spillage onto Secret, Secret onto UNCLAS, etc.)
2. Upon discovery of an electronic spillage, due consideration must be given to the possibility of loss or compromise of classified information
3. Prompt and complete identification and reporting facilitates rapid containment of the spillage and helps determine:
 - How the spillage occurred
 - Possible impact on Navy operations
 - Insight into how to prevent future occurrences
4. If a spillage occurs: **(CNO ltr ser N09N2/9U223112 of 7 May 2009, Interim Policy Changes, Reminders and clarifying Guidance to SECNAV M-5510.36)**
 - a. All commands affected by the spillage (to include the originating command) will follow reporting procedures per NTD 11-08, Electronic Spillage Requirements
 - b. **All spillages** will be reported as security violations and a Preliminary Inquiry is mandatory by the command responsible for the spillage

G. Role of NCDOC (previously NAVCIRT) and NCIS in IA

1. CNO Washington DC 111754Z Oct 95 (ALCOM 045/95) established policy for computer incident response and vulnerability reporting (current guidance is OPNAVINST 2201.2)
 - a. Security Incident - An attempt to exploit DON information systems or networks which can result in compromise of information, denial of service and other related disruptions which can severely impact on fleet operations.
 - b. Incidents include: Penetration of computer Systems; introduction of technical and administrative vulnerabilities; introduction of computer viruses and other forms of malicious code
2. Responsibilities of NCDOC
 - a. Provide effective and timely technical response to security incidents
 - b. Establish and operate an incident response team capability to centrally coordinate actions involving security incidents and vulnerabilities that threaten DON systems
 - c. Review all reported DON information system and networking vulnerabilities and incidents and evaluate requirement for follow-up actions
 - d. Facilitate reporting of security incidents involving violations of law to NCIS
 - e. Coordinate with COMNAVSECGRU and ONI on incidents on SCI systems
3. NCIS - Cyber Unit
 - a. Coordinate closely with NCDOC and operate the DON Computer Crime Hotline, 1-800-278-9917
 - b. Investigate intrusions, targeting or vulnerability incidents (including the foreign

threat), computer theft and misuse of DON computer systems for criminal prosecution

H. Information Warfare and Cyberterrorism

1. Two relatively new terms - Result of the vastly expanding use of computers
2. Information Warfare - Any action which attacks, protects, or uses military information functions or operations
 - a. Encompasses everything from electronic jamming to psychological operations
 - b. Can be remotely conducted from anywhere in the world, with minimal risk to the individual, organization or country because it is difficult to pinpoint for attack/retaliation
3. Cyber terrorism - Environment where at the stroke of a key millions of lives can be changed or destroyed simply to prove a religious, political or ideological point
 - a. Term which is not yet fully defined or the full ramifications known
 - b. Applying automation to the concept of terrorism by assassination, bombing, kidnappings, hijacking and other means
 - c. Terrorists could utilize aspects of information warfare to achieve their goal, such as:
 - Changing/altering records/files
 - Disrupting services
 - Disrupting information systems with viruses, trap doors, Trojan horses, malicious logic
 - d. Potentially as an attack method - it could prove relatively cheap, safe and profitable for terrorists

I. Additional IA Program Safeguards

- Protect your password
- Mark and store IS media per its classification level
- Enforce copyright laws
- Place monitor so it cannot be viewed by unauthorized personnel
- Do not connect information systems that process classified information to unsecured modems
- Follow the procedures of NTD 12-08, Disposition of Navy Computer Hard Drives
- **Never** process classified information using personally owned hardware and software