

ASSIGNMENT SHEET 8.2**Security Incidents Team Exercise****INTRODUCTION**

This exercise provides practical application of information provided in Lesson Topic 8.1, Loss and Compromise of Classified Information.

LESSON TOPIC OBJECTIVE

Upon completion of lesson topic 8.1 and this team exercise, you will be able to:

1. Indicate necessary reporting actions for given situations that involve security incidents.
2. Evaluate your command's procedures for actions to take when a security incident occurs, per the requirements of SECNAVINST 5510.36 Chapter 12.

EXERCISE DESCRIPTION

This exercise presents 12 scenarios of security incidents that were submitted to CNO (N09N2). Determine in each case what recommendation the Command Security Manager should make to the Executive Officer and Commanding Officer.

1. Was a Preliminary Inquiry report necessary with a JAGMAN initiated, or
2. Was it an incident, which could have been handled at the command level?

TEAM AND CLASS DISCUSSION:

In the Team discussions determine the specific course of action the command should take in each scenario. Team leaders will select team members to make class presentations.

SECURITY VIOLATION EXERCISE**SCENARIO #1**

During a meeting with a Department of the Navy (DON) Family Service representative, MM1 Smith is informed that his spouse had threatened to turn over some Confidential information she located in their residence. MM1 Smith immediately informed his Chief, MMCS Jones, of the situation. MM1 Smith stated he brought home the classified information to work on a training plan, and he believed his courier card authorized him to do so. The information in question was Confidential Department of Energy Navy Nuclear Propulsion Information (NNPI). MM1 Smith advised that he wanted to cooperate fully.

PI & JAGMAN**PI & Handle at Command****SCENARIO #2**

On 21 Feb XX, Chief Petty Officer (CPO) Kurtis Jones commits suicide in his off base apartment. The local police and paramedics respond to Mrs. Jones 911 call. CPO Jones is DOA and during an autopsy a diskette is recovered in his clothing and returned to his Command along with a thermoluminescent dosimeter. Command personnel review the diskette and discovered 6 Secret-working graphs of sound noise levels of various equipment lineups related to the improvement of the ship's noise signature. CPO Jones was the Sonar Division Leading Chief and the information was related to work under his cognizance. The NCIS has not been notified of the death because it happened off base.

PI & JAGMAN**PI & Handle at Command****SCENARIO #3**

A wardroom member found a sealed manila folder containing a Top secret document in his stateroom. The Top Secret information should have been in the custody of the TSCO. The TSCO had no record of receipt for the information. Apparently the information was received via Defense Courier Service and instead of being turned over to the TSCO was put in a Top Secret safe in the Tomahawk control room. The Fire Control Officer apparently then took control of the document and kept custody of it in his stateroom until his transfer, where it was subsequently found in the safe he used.

PI & JAGMAN**PI & Handle at Command**

SCENARIO #4

Marine guards on routine patrol of a controlled building discovered an office left open and an open security container (containing Secret documents). Information was inventoried and all items were accounted for.

A Reservist CDR on two weeks annual training left without securing the space. The room was unoccupied for approximately 35 minutes. It was determined that there were no non-U.S. or non-cleared personnel in the area during that time.

PI & JAGMAN**PI & Handle at Command****SCENARIO #5**

At approximately 0730 hours on 11 Jan XX, Command members aboard the USS Titanic discovered 2 Government desktop and 2 Government laptop computers missing, including about 30 3.5 inch diskettes and 15 backup database tapes, from the station office which is secured with a cipher lock. According to the NCIS Special Agent assigned to the case there were no signs of forced entry. The ship was docked at the Norfolk Navy base during the theft. Approximately half of the tapes missing contained DOE Confidential information.

PI & JAGMAN**PI & Handle at Command****SCENARIO #6**

On 17 Mar XX, Command personnel discover 3 Top Secret, 3 Secret, and 3 Confidential documents during a routine scan of staff computer systems. The information was discovered on a stand-alone computer authorized for unclassified information only. The computer was installed in the Command telecommunications center to allow access to the Internet via a commercial Internet service provider. The center is continuously manned by personnel cleared for Top Secret access. File descriptions indicate the messages were written to the stand alone in Aug of the previous year.

PI & JAGMAN**PI & Handle at Command**

SCENARIO #7

A security container containing classified working papers was found closed but unsecured at 0530 on a Monday morning. Last notation on security container checklist was that the container was opened at 1630 and closed at 1715 on the preceding Friday. Current inventory of container was not available. Space is located in a magnetic card controlled area. Only personnel who work in the space or have a need for repeated access have key card access. No card access was recorded for the weekend.

PI & JAGMAN**PI & Handle at Command****SCENARIO #8**

A LT found a Confidential message in the bottom of his "in" basket under numerous other documents. The message had been put in the LT's basket the day before but he had been too busy to check his basket until the next day.

PI & JAGMAN**PI & Handle at Command****SCENARIO #9**

On 09 Apr XX, it was reported on at least three occasions that unauthorized Swiss nationals had been granted access to the NAWCWPNS Range Control Center at Point Loma, CA. The Range is an open storage area authorized for open Secret storage. The NCIS was notified and requested exclusive jurisdiction. On 21 Apr XX, the NCIS special agent for the case relinquished jurisdiction.

PI & JAGMAN**PI & Handle at Command****SCENARIO #10**

Several classified documents were discovered in a folder found in a rental car returned to XYZ Rental Cars, in Hanover, MD. The folder has been identified as originating on board an attack submarine, based on labels on the outside of the folder and the addressees on messages contained within the folder.

PI & JAGMAN**PI & Handle at Command**

SCENARIO #11

Several TOP SECRET documents were downloaded to a CD-Rom and improperly removed from a SCIF. This was accomplished by downloading the contents of the CD-ROM to a personal laptop and to a Personal Data Assistant (palm pilot). The laptop's files were not purged and it was left unsecured and later connected to a foreign Internet service.

PI & JAGMAN**PI & Handle at Command****SCENARIO #12**

On 31 Mar, XX an experienced message clerk downloaded classified and unclassified message traffic using a GATEGUARD computer. She simultaneously downloaded classified messages and copied unclassified messages to a floppy disk. As a result, a SECRET message was released to unauthorized persons in the unclassified message distribution to base departments.

PI & JAGMAN**PI & Handle at Command**